



**CABINET FOR HEALTH AND FAMILY SERVICES  
DEPARTMENT FOR MEDICAID SERVICES**

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**\*IMPORTANT NOTICE\***

**Changes in the Kentucky Medicaid Lock-in Program**

To promote continuity of health care and reduce costs associated with unnecessary overuse of Medicaid services, the Department for Medicaid Services (DMS) has filed an emergency amendment to 907 KAR 1:677. This regulation guides the Medicaid Recipient Lock-in Program and was effective July 1, 2010.

Under the Lock-in Program, a Medicaid recipient who overuses Medicaid services is identified and restricted to receiving services from designated providers. If you currently serve or plan to serve as a Lock-in Program designated provider, it is important for you to know about the amendment, which is summarized below.

**Effective July 1:**

1. A Medicaid Recipient enrolled in the Lock-in Program -
  - Will be restricted to receiving Medicaid services from designated providers, including a primary care provider (PCP), pharmacy, and controlled substances prescriber;
  - May also be restricted to receiving non-emergency services from a designated hospital if, within a 12-month period of time, the recipient had at least 4 hospital emergency department visits and received services from at least 3 different hospital emergency departments for a non-emergency medical condition;
  - May seek services from a provider other than a designated provider ONLY if the PCP approves and sends a completed Lock-in Recipient Referral form to the referred provider;
  - Will be responsible for payment of services provided in the emergency department of a hospital if the recipient does not have an emergency medical condition. The Lock-In Table of Emergency Medical Conditions may be viewed online at <http://www.chfs.ky.gov/dms/provider.htm>;
  - Will be responsible for payment of a service received from a non-designated or non-referred provider if the recipient does not have a referral from the PCP and is informed of financial responsibility before the service is provided;
  - Will be restricted to receiving services under the Lock-in Program for 24 months or longer; and
  - Will not be restricted to receiving services from a particular provider for an emergency medical condition.



This regulation includes references to the June 2010 Lock-In Table of Controlled Substances, Lock-In Table of Emergency Medical Conditions, and Lock-In Member Referral Form.

2. A Designated Primary Care Provider (PCP) -
  - Must complete and send written referrals to referred providers, for necessary services. The Lock-In Recipient Referral form may be viewed online at <http://www.chfs.ky.gov/dms/provider.htm>;
  - Must be accessible to an assigned Lock-in recipient within community time and distance standards;
  - Must have inpatient admission privileges to or a professional affiliation with the designated hospital of an assigned Lock-in recipient;
  - Must provide necessary non-emergency services and manage the healthcare needs of an assigned Lock-in recipient;
  - Must participate in the periodic DMS review of an assigned Lock-in recipient; and
  - May serve as the designated controlled substance prescriber of an assigned Lock-in recipient, if the PCP is a physician.
3. A Designated Controlled Substance Prescriber -
  - Must provide necessary non-emergency prescriptions for controlled substances to an assigned lock-in recipient. The Lock-In Table of Controlled Substances may be viewed online at <http://www.chfs.ky.gov/dms/provider.htm>;
  - Must be a physician who holds a current, unrestricted medical license;
  - Must obtain a written referral from the recipient's PCP, if necessary; and
  - Will be paid a management fee of \$5.00 per month for an assigned lock-in recipient, if the prescriber is not currently serving as the recipient's PCP and being reimbursed a \$10 PCP management fee.
4. A Designated Hospital -
  - Must provide necessary non-emergency services to an assigned lock-in recipient if a referral is received from the recipient's PCP.
5. A Designated Pharmacy -
  - Must dispense necessary non-emergency prescription drugs and pharmaceutical supplies to an assigned Lock-in recipient.

To view the emergency amendment to the Lock-in Program regulation (907 KAR 1:677E), you may visit the DMS website at <http://chfs.ky.gov/dms/current.htm>, or if you have any questions regarding Lock-In Program changes, you may call toll-free **877.298.6108**, and choose the lock-in option.

As always, you are advised to verify the eligibility of a Medicaid member prior to the provision of any service.

If you suspect someone may be overusing Medicaid services unnecessarily, you are advised to call 800.372.2970. You never have to give your name.